

**Framework for approving University policies or legally required statements**

RETAINED BY GOVERNING BODY	DELEGATED TO COMMITTEES OR OTHER INDIVIDUALS
<p><b><i>Legally required statements</i></b></p> <ul style="list-style-type: none"> <li>• Modern Slavery Statement</li> <li>• Gender Pay Gap Report (following review by NSG)</li> </ul> <p><b><i>OfS or CUC required statements</i></b></p> <ul style="list-style-type: none"> <li>• Senior Staff Remuneration Framework</li> <li>• Remuneration Annual Report and Statement</li> </ul> <p><b><i>Policies approved</i></b></p> <ul style="list-style-type: none"> <li>• Conflicts of Interest</li> <li>• Corporate Social Responsibility</li> <li>• Risk Management Framework</li> <li>• Risk Appetite</li> <li>• Code of Conduct for Governors</li> <li>• Procedure for investigating allegations against Governors</li> </ul>	<p><b><i>Legally required statements approved by Vice-Chancellor or nominee</i></b></p> <ul style="list-style-type: none"> <li>• Charity Information</li> <li>• FOIA Publication Scheme</li> </ul> <p><b><i>Policies approved by Committees or delegated if they have sub-committees</i></b></p> <ul style="list-style-type: none"> <li>• Provision of non- audit services (ARC)</li> <li>• Counter Fraud (ARC)</li> <li>• Bribery &amp; Corruption including money laundering (ARC)</li> <li>• Whistleblowing (ARC)</li> <li>• Treasury Management Policy (Finance)</li> <li>• Long Term Investment Policy (Finance)</li> <li>• Student &amp; Academic Policies and Regulations (Academic Council)</li> </ul> <p><b><i>Policies approved by Vice-Chancellor or delegated via the Executive Delegations Framework</i></b></p> <ul style="list-style-type: none"> <li>• Communications &amp; Recruitment</li> <li>• Contract approval</li> <li>• Donations</li> <li>• Estates &amp; Facilities</li> <li>• Expenses</li> <li>• Financial Regulations &amp; other Financial Policies</li> <li>• Freedom of Information</li> <li>• Health &amp; Safety</li> <li>• Information Security &amp; GDPR and other Information &amp; Library Services Policies</li> <li>• People Policies</li> <li>• Prevent</li> <li>• Procurement</li> <li>• Terms &amp; Conditions of Employment</li> </ul>

## Framework for approving OfS returns

RETAINED BY GOVERNING BODY	DELEGATED TO COMMITTEES OR OTHER INDIVIDUALS
<p><b><i>Published on the web</i></b></p> <ul style="list-style-type: none"><li>• Student Protection Plan</li></ul> <p><b><i>Returns approved</i></b></p> <ul style="list-style-type: none"><li>• Access &amp; Participation Plan</li><li>• Financial &amp; Student Number Forecasts &amp; Commentary</li><li>• Signed Audited Financial Statements</li><li>• Annual Financial Return to OfS</li><li>• Prevent Accountability &amp; Data Return</li></ul> <p><b><i>Returns submitted to GB</i></b></p> <ul style="list-style-type: none"><li>• External Auditor's Management Letter (reviewed by ARC)</li></ul>	<p><b><i>Approved by Vice-Chancellor or delegated via the Executive Delegations Framework</i></b></p> <ul style="list-style-type: none"><li>• Access &amp; Participation Monitoring Plan</li><li>• Access &amp; Participation Plan Impact Report</li><li>• Annual Fee limits</li><li>• Formula teaching capital monitoring</li><li>• Higher Education Early Statistics (HESES)</li><li>• National Student Survey to OfS contractor</li><li>• All HESA Returns</li><li>• Transparent Approach to Costing (TRAC) Return (TRAC processes and benchmarking reviewed by ARC)</li></ul>

**Approved by the Governing Body: 23 November 2022**

**Last updated: August 2023**

**Document owner: University Secretary**